

OCC Leadership Risk Register Summary 13/05/2020

Leadership Risk	Score	DoT	Latest Update
LR1 - Demand Management - managing the impact of increased demand on council services	20	↑	Existing controls and mitigating actions updated.
LR17 - Covid-19 - community and Customers - providing service and support to those impacted by the coronavirus pandemic	20	New	Current position reflected. Existing controls and mitigating actions updated.
LR18 - Covid - Business Continuity impacted by staff absence - managing the ongoing impact of the pandemic on council operations.	20	New	Risk effect, existing controls and action completion.
LR2 - Safeguarding of vulnerable adult and children - ensuring there are effective arrangements in place for safeguarding	15	↑	Existing controls and mitigating actions updated
LR3 - Growth Deal - ensuring there are effective governance and delivery arrangements in place for the deal.	15	↔	Mitigating actions updated.
LR11 - Finance resilience - ensuring there are effective plans in place to deliver a balanced budget and a sustainable medium term financial strategy	15	↑	The impact of Covid19 has changed the financial outlook for the Council, although there are too many variables to fully determine both the medium and long term impacts
LR10 - Organisational Change and Service design - ensuring there are effective plans and governance in place to deliver required organisational change.	12	↑	There will be delays to planned work. However the full impact of Covid-19 on the organisational change and service redesign programmes and projects is still being assessed.
LR15 - Cyber Security - assurance that effective controls are in place to prevent security issues.	12	↔	There has been some delay to appointment of the Cyber Security Officer. The IT Service continues to work with colleagues and partners to manage the cyber security threat.
LR19 - Covid 19 - Additional duties added to local authorities regarding PPE provision	12	New	Mitigating actions updated.
LR9 - Workforce management - long term plans to ensure a capable and skilled workforce with the capacity to deliver required and new services.	9	↑	Existing controls and mitigating actions updated.
LR4 - local resilience, community resilience, cohesion - ensuring there are plans in place to support and engage communities with regards to <u>resilience, cohesion, and community tension</u>	8	↑	Risk cause, risk effect, existing controls and mitigating actions updated.
LR6 - Supply chain management - ensuring effective delivery through the supply chain	8	↔	Likelihood score (residual) has been increased due to Covid-19 but risk is being managed through the Provider Cell and at present we are not seeing any increase in supply chain failure as a result of the pandemic.
LR13 - Health & Safety - ensuring effective arrangements are in place to meet our duties	8	↔	Existing controls, mitigating actions and action completed dated updated.
LR14 - Business continuity and recovery - Resilience to a additional significant disruption during the Covid-19 Response	8	↑	Focused review to ensure that services can be resilient during the current phases of Covid-19 response. Risk title, risk effect, existing controls, mitigating actions and action completed updated.
LR16 - ICT infrastructure - assurance that IT infrastructure is reliable and fit for purpose	8	↔	The replacement datacentre, DR and backup solutions are in place and fully operational. The risk of legacy datacentre centre equipment has been removed. Measures are in place and tested for staff resilience to be able to maintain core IT services. Staff have been reassigned to meet any increase in demand due to COVID19 business requirements.
LR12 - Property and assets (maintenance cost)	6	↓	All mitigation actions referred to are now in place; activities ongoing The right expertise now in-house dealing with this, with a plan in place to achieve compliance and maintain programme going forward H&S team within PIFM overseeing this and KPIs developed as means of checking performance Additional budget allocated to the team to carry out further works
LR5 - Management of partnerships (non-commercial) - maximising the use of effective partnerships to deliver strategic outcomes and community benefit.	6	↔	Existing controls and mitigating actions updated
LR7 - Delivery of statutory duties - delivery of service and duties in compliance with requirements and responding to changes.	4	↔	Action plans continue to be reviewed and addressed by the Leadership Team. When appropriate, consideration ought to be given to statutory easements for SEN and Social Care. Mitigating actions updated.
LR8 - Corporate governance - creating and embedding an effective and robust management and governance system that provides accountability and transparency.	2	↔	Risk effect, Existing controls and mitigating actions updated.